SHEET 1 SUPERIOR COURT OF NEW JERSEY UNION COUNTY LAW DIVISION, CRIMINAL PART INDICTMENT NO. 97-02-0123 APP. DIV. NO. A-3695-07T4 STATE OF NEW JERSEY, Plaintiff, TRANSCRIPT οf PCR MOTION .vs. MARVIN MATHIS, Defendant. Place: Union Co. Courthouse 2 Broad Street Elizabeth, NJ 07207 Date: February 29, 2008 BEFORE: HONORABLE JOHN F. MALONE, J.S.C. TRANSCRIPT ORDERED BY: HELEN C. GODBY, ESQ. (Office of the Public Defender, Appellate Section, 9th Floor, 31 Clinton Street, Box 46003, Newark, New Jersey 07101) APPEARANCES: SARA B. LIEBMAN, ESQ. (Assistant Prosecutor, Union County) Attorney for the State LEWIS D. THOMPSON, ESQ. (Sole Practitioner) Attorney for the Defendant Transcriber Lauren Torkos ELITE TRANSCRIPTS, INC. 14 Boonton Avenue Butler, New Jersey 07405



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Colloquy 1 Good afternoon, everyone. THE COURT: 2 You can be seated. All right. This is the 3 matter of STATE VS. MARVIN MATHIS. It is under 4 It is the PCR. Indictment 97-02-123. Counsels, your 5 appearance for the record please. 6 MR. THOMPSON: Lewis Thompson appearing for 7 Marvin Mathis, who's present on writ from New Jersey 8 State Prison, Your Honor. 9 MS. LIEBMAN: Sara Liebman for the State. 10 THE COURT: Just very briefly before we 11 Let me indicate that this is -- this case 12 arises out of a homicide which occurred on January the 1,3\_. 22nd, 1996 in Elizabeth. The defendant, Mr. Mathis, 14 was aged 15 at the time that he was arrested on a 15 juvenile complaint charged with participation in that 16 crime. 17 Following his arrest a hearing was held in 18 Family Court at which time the State's motion to waive 19 jurisdiction to the adult court was granted. 20 Thereafter the Union County Grand Jury indicted Mr. That indictment was handed down on February 21 the 4th, 1997. 22 And under this indictment number Mr. 23 Mathis was charged with first-degree murder, first-24 degree armed robbery, first-degree felony murder, 25 second-degree possession of a firearm for unlawful

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Colloguy purposes, and third-degree possession of a weapon for 1 2 unlawful purpose. 3 The defendant through counsel filed a motion for a MIRANDA hearing in connection with this matter. 4 5 That hearing was conducted prior to the trial and the 6 motion to suppress his statement was denied. 7 followed and the Jury returned a verdict of guilty on 8 all counts on June 18, 1998. Sentencing took place on August the 14th of 1998 and the defendant received a 9 10 cumulative sentence of 50 years to New Jersey State 11 Prison with 30 years of parole ineligibility. Defendant filed an appeal which was decided 12 13 by the Appellate Decision on June 2, 2000. And the defendant's conviction and sentence were affirmed. 14 15 Defendant then brought this post-conviction relief petition in which he argues that the decision in the 16 17 Family Court to waive jurisdiction and transfer the 18 matter to adult court was erroneous, that the failure 19 to suppress his statement on the MIRANDA motion that 20 was erroneous, and that the sentence that was imposed 21 The defendant also argues ineffective was illegal. assistance of counsel in connection with his PCR. 22 23 I have reviewed all of the papers that have 24 been submitted in connection with this matter. 25 Mr. Thompson, I'll hear you for anything you want to

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add or highlight.

MR. THOMPSON: Okay. Thank you, Your Honor. I'm not going to go through all the details. read the briefs. The essence here is that Mr. Mathis has had a long history of cognitive difficulties, inability to understand complex concepts, and problems with school. And these were well documented by Dr. Paige (phonetic), Dr. Thompson (phonetic), Dr. Schlessinger (phonetic).

And this is not so much a direct challenge to the waiver, but rather a challenge to the effectiveness of the counsel that he had at the time who's now Inasmuch as trial counsel didn't perceive when he should have the difficulties that this man, Mr. Mathis, was going to have in understanding the charges against him, and understanding the questions asked of him, and understanding the plea offer, and understanding the proceedings in general.

And trial counsel should have basically put forth a defense of inability to stand trial for lack of competence, but this wasn't done, Your Honor. this was ineffective to the level of STRICKLAND and the other cases. And we ask that post-conviction relief be granted on this basis, Your Honor. THE COURT: Thank you.

Ms. Liebman.

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SHEET 4 Argument - Thompson/Liebman 1 MS. LIEBMAN: Thank you, Your Honor. 2 noted in the State's papers many of defendant's claims are procedurally barred and that they have already been 3 addressed by the Appellate Division. And even so --4 5 even if this Court were to consider them they lack 6 7 With regard to the issue of the defendant's 8 competence or whether he had a defense of diminished. 9 capacity, again in addition to what's in the State's 10 papers, I would just like to point out that the defendant himself submitted numerous briefs and his 11 12 petition for post-conviction relief. And reading that it is clear that he has a competent grasp on what is at 13 14 issue and even the issues that are involved in this 15 post-conviction relief. 16 And I know that the issue is what his mental 17 state was at the time. But I think that Your Honor can look to that taken in connection with the rest of the 18 And the fact that the doctor's reports 19 factors. 20 there is no -- there was no conclusive medical 21 statement that he was not competent or that he had a 22 viable defense of diminished capacity. 23 Under the standard of STRICKLAND, which has 24 been adopted by New Jersey under STATE VS. FRISK

Argument - Liebman 7 the reasons in the State's papers, that the defendant has not met his burden to entitle him to post-conviction relief. And the State respectfully requests

conviction relief. And the State respectfully requests that Your Honor deny his petition on the papers without any further hearing.

(phonetic), the State submits that for these reasons,

MR. THOMPSON: Your Honor, Dr. Thompson in her own report stated that he should have a subsequent evaluation, a psychiatric evaluation, to determine his level of retardation and competence. That was not done.

Second of all, Mr. Mathis' functioning level due to maturation and additional training and education now is certainly more than when he was 15 years and 10 months. And as a result saying well, he assisted in preparing these briefs now means that he was competent you know 12 years ago. And that's not necessarily the case, Your Honor.

THE COURT: As I indicated in my brief outline this post-conviction relief petition really is in two parts. The comments of counsel today really addressed the second part. That being the ineffective assistance aspect of the case. But there is the element of the petition that really makes a primary or direct attack upon some of the aspects of the case, and it is important just for completeness to address those



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SHEET 5 Argument - Liebman/Thompson/Court Decision 1 issues. 2 The matters of the waiver by the Family Court 3 to adult court and the matter of the legality of the sentence were both addressed in the -- by the Appellate 4 Division in its 2000 decision. And under Rule 3:22-5 5 6 the relitigation or the attempt to relitigate those 7 issues in a post-conviction relief petition are barred. 8 The matter of the -- whether the Trial Court was correct or not in refusing to suppress the 9 statement under MIRANDA is an issue that could have 10 11 been raised on appeal. And Rule 3:22-4 would bar then 12 consideration of it here in a PCR. But putting even 13 aside the procedural bar it is clear from a review of 14 the record in this matter that the Court did conduct a 15 thorough MIRANDA hearing, evidence. 16 With respect to the procedures employed and 17 the manner in which the statement was taken from Mr. Mathis, the fact that his mother was present with him 18 19 when he was interviewed by the police, all of those factors led to the Court's determination prior to trial 20 21 that the statement should not be suppressed. 22 And upon review of the record with respect to 23 the matter of the MIRANDA hearing the record is such 24 that it supports the conclusion that the statement was knowingly and voluntarily -- that the rights under 25

#### Court Decision

MIRANDA were knowingly and voluntarily waived, and the statement was given in a voluntary fashion.

Turning now to the claim of ineffective assistance of counsel. Both the attorneys here today have made reference to the STRICKLAND standards, which indicate that in order for a reviewing court on a PCR to conclude that there was ineffective assistance of counsel, the Court must conclude that counsel failed to act as the attorney on behalf of the defendant. That in a proficient manner that his conduct was so deficient as to effectively fail to provide the counsel that the Sixth Amendment guarantees.

The second part of this test is -- and that based upon that -- but for that deficient conduct of counsel the results would have been different. The defendant asserts that the ineffective assistance of his counsel deals with the fact that counsel did not raise, either during the MIRANDA proceeding or at the time of sentencing, his mental status.

time of sentencing, his mental status.

It is argued that the defendant, a special ed. student at the time of his arrest suffered from learning disabilities and that therefore, his competency to stand trial, his competency to have made a voluntary statement was lacking, and that he lacked - that his capacity to formulate the necessary mental



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SHEET 6 . Court Decision 10 status necessary to convict him of these crimes was 1 2 lacking. So the question then before the Court is was 3 4 that failure -- was there a failure on the part of 5 counsel to raise these issues. Information has been presented to the Court eluded to by counsel here in the 6 7 argument, the psychological reports that have been 8 presented to the Court here in connection with this 9 matter. 10 Based upon my review of those reports it is 11 clear to me that they -- while the evidence does 12 support the conclusion that Mr. Mathis had learning disabilities, that his -- that he -- his learning 13 14 capacity was as -- I believe it is indicated at a 15 borderline level. There's also no information 16 contained in these reports that supports a conclusion 17 that he was suffering any psychiatric illness. There is nothing here that says he lacked 18 19 competence. That is that he did not understand and 20 appreciate the nature of the charges against him. 21 There is nothing that supports the conclusion that he had a diminished capacity. 22 That is he did not have the capacity to formulate the ability to act in a 23 24 purposeful or knowing way.

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The review of the proceedings indicates that

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he participated in the interview with the police and
the time he gave his statement -- again in the presence
of his -- of a parent. He voluntarily waived his
rights. It is apparent that he understood the
proceedings, that he understood the questions being
posed to him, and was able to formulate answers to
those questions that were appropriate and responsive to
the questions before him.

There is nothing that allows this Court to conclude that the evidence supports a conclusion that the defendant at the time of his trial was incompetent or suffered from diminished capacity. Thus any failure on the part of his attorney to raise those issues, either in connection with the MIRANDA or at the time of sentencing to mitigate -- as a mitigating factor or to raise as a bar to the prosecution of this case that any failure of counsel to do that was ineffective assistance. There simply was nothing to present to the Court to argue that the defendant lacked competency or that he suffered diminished capacity.

The first prong then of STRICKLAND has not been satisfied. There is no showing that counsel failed to provide legal service -- legal assistance as is required by the Constitution.

Secondly, there is no indication with respect

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SHEET 7 Court Decision 12 to the trial that the results would have been different l but for any deficiencies on the part of counsel. 2 evidence against the defendant in this case, absent his 3 own statement, was still substantial, overwhelming, and 4 5 easily support a conclusion that the defendant was guilty beyond a reasonable doubt. 6 7 Two eye witnesses to the crime, albeit persons who themselves were participants in the crime 8 9 that is part of the group that was out for purposes of 10 committing a robbery, nevertheless those two women did testify and identify the defendant as the person who 11 was the shooter, the person who inflicted the fatal 12 13 gunshot. The evidence was substantial. 14 There is simply no basis for this Court to 15 conclude that an evidentiary hearing is necessary. There is no -- been no sufficient demonstration of 16 17 ineffective assistance of counsel to warrant any 18 further proceedings in this matter. The petition is 19 denied. Thank you, Counsel. 20 MS. LIEBMAN: Thank you, Your Honor. 21 (Proceedings concluded)

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#### CERTIFICATION

I, Lauren Torkos, the assigned transcriber, do hereby certify the foregoing transcript of proceedings in the Union County Superior Court on February 29, 2008, Tape No. 51-08, Index No. 34 to 1011, is prepared in full compliance with the current Transcript Format for Judicial Proceedings and is a true and accurate compressed transcript of the proceedings as recorded to the best of my knowledge and ability.

Lauren Torkos T#580 Elite Transcripts, Inc. Butler, New Jersey 07405

January 5, 2009

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